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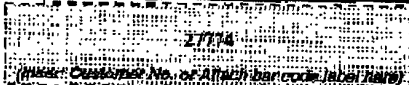
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.63(d))

|                        |  |
|------------------------|--|
| Attorney Docket No.    | 2190/2   |
| First Inventor         | Sergey Erolov et al                            |
| Title                  | OPTICAL ALL-PASS FILTER WITH GAIN COMPENSATION |
| Express Mail Label No. | ET477939753US                                  |

| APPLICATION ELEMENTS   |   | ADDRESS TO:  |                |
|--|---|--|----------------|
| See MPEP chapter 600 concerning utility patent application contents.   |   | Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, D.C. 20231 |                |
| 1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original, and a duplicate for fee processing)   | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)   | PTO<br>1000 U.S. 1000<br>11/02/01  |                |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.   | 8. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)   |  |                |
| 3. <input checked="" type="checkbox"/> Specification (Total Pages: <input type="text" value="23"/> )<br>(preferred arrangement set forth below)<br>- Descriptive title of the invention<br>- Cross Reference to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to sequence listing, a table, or a computer program listing appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings (if filed)<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure   | a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies |  |                |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) (Total Sheets: <input type="text" value="6"/> )  | 11. English Translation Document (if applicable)  |  |                |
| 5. Oath or Declaration (Total Pages: <input type="text" value="1"/> )<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 18 completed)<br>c. <input type="checkbox"/> DELETION OF INVENTOR(S)<br>Signed statement attached deleting inventor(s) named in this prior application, see 37 CFR 1.63(d)(2) and 1.33(b).   | 12. Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  |  |                |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.78  | 13. Preliminary Amendment   |  |                |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)  |   |  |                |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)  |   |  |                |
| 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/36 or its equivalent.   |   |  |                |
| 17. <input type="checkbox"/> Other:  |   |  |                |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.78:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____<br>Prior application information: Examiner _____ Group / Art Unit _____<br>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |   |  |                |
| 19. CORRESPONDENCE ADDRESS   |   |  |                |
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|                   |   |                                   |        |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | Stuart H. Mayer   | Registration No. (Attorney/Agent) | 35,217 |
| Signature         |  | Date                              |        |

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Sergey Frolov et al.**

Docket No.

**2100/2**

Serial No.

**Unassigned**

Filing Date

**Filed Herewith**

Examiner

**Unassigned**

Group Art Unit

**Unassigned**Invention: **OPTICAL ALL-PASS FILTER WITH GAIN COMPENSATION**

I hereby certify that the following correspondence:

**New U.S. Patent Application***(Identify type of correspondence)*

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11/2/01*(Date)*Marjorie Scariati*(Typed or Printed Name of Person Mailing Correspondence)**Marjorie Scariati**(Signature of Person Mailing Correspondence)*ET477939753US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**